

1 **Field Note -- Forest Vegetation Simulator Ingrowth Tool: Incorporating ingrowth tree lists**  
2 **into FVS growth projections**

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2 **projections**

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4 ABSTRACT -- The Forest Vegetation Simulator Ingrowth Tool (FVS\_IT) was developed in the Python language  
5 and tested using the Northeast variant of FVS (FVS-NE). This tool incorporates specified ingrowth tree lists, stored  
6 in secondary tree list files, into FVS projections. It functions by retrieving information from a FVS keyword file,  
7 which is then modified to project data in a stepwise manner using user-defined time intervals. Between each time  
8 step in a simulation, FVS\_IT incorporates ingrowth into projections by appending ingrowth tree records to projected  
9 tree lists and compiles a new tree list for the next time step. Outputs include both appended tree lists and stand  
10 summaries from FVS so that users can conduct further analyses. The FVS\_IT application is useful when assessing  
11 and calibrating FVS using continuous forest inventory or permanent sample plots where periodic remeasurements  
12 include ingrowth trees.

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14 **Introduction**

15 The Forest Vegetation Simulator (FVS) is the standard growth projection tool used by the US Forest  
16 Service and many other agencies (Dixon 2003). The US Forest Service has developed variants of FVS for all  
17 regions in the United States (Dixon 2003) and other organizations have developed similar variants for Canada (BC  
18 Ministry of Forests 2007; Ontario Forest Vegetation Simulator 2007). Graphical user interfaces, such as Suppose  
19 (Crookston 1997) and the Landscape Management System (McCarter et al. 1998) has made FVS the growth  
20 projection tool of choice for many forest managers.

21 This project evolved out of a larger regional project assessing the application of FVS-NE to the Maritime  
22 Provinces of eastern Canada. The initial phase focused on assessing and calibrating FVS-NE using the Nova Scotia  
23 Continuous Forest Inventory (CFI) database (NS Department of Natural Resources 2007). It was quickly discovered  
24 that incorporating ingrowth trees, observed in the periodic remeasurement data, into FVS was quite cumbersome and  
25 time consuming. Individual CFI plots had to be either projected for a single growth period and the tree list manually  
26 edited to incorporate ingrowth, or ingrowth had to be specified using the FVS NATURAL keyword resulting in plot-  
27 specific keyword files. The software described in this paper, the Forest Vegetation Simulator Ingrowth Tool  
28 (FVS\_IT), was developed to automate the process of incorporating ingrowth trees into FVS simulations.

## 1 **FVS\_IT - An Ingrowth Tool for FVS**

2 FVS\_IT is a third-party application that works in conjunction with the Suppose interface, making stand  
3 projections a two-stage process (Figure 1). The first stage consists of using the Suppose graphical user interface  
4 (Dixon 2003) to generate a keyword file required by FVS during growth projection, and the second stage consists of  
5 using FVS\_IT to modify the keyword file, incorporate ingrowth tree lists, and run FVS. To understand how FVS\_IT  
6 operates, the behavior, inputs, and outputs of FVS and Suppose must be briefly explained (a complete description of  
7 these functions and formats are found in Dixon 2003).

8 The Suppose graphical user interface allows users to quickly set-up simulations, or modify existing ones,  
9 by providing links to menus of user-defined keywords that are subsequently used to generate a keyword file. The  
10 keyword file is a summary of all information entered into Suppose and used by FVS to project tree lists. The  
11 process of projecting tree lists with Suppose or FVS\_IT only differs after users have generated a keyword file  
12 (Figure 1). Instead of continuing with stand projection within Suppose, users run FVS\_IT, which reads and  
13 interprets a keyword file to obtain information including desired time scale, which tree lists to project, and where  
14 these tree lists are stored. FVS\_IT generates a modified keyword file and runs FVS for one time interval. At the end  
15 of the projected time step, ingrowth trees are appended to the projected tree list and FVS is run again for another  
16 time interval. The process is repeated until all time steps are projected. As a result, FVS\_IT runs consecutive 5-year  
17 projections instead of running a single projection in 5 year increments as is normally done when using FVS.

18 Projected tree lists from each simulated time step are read, processed, and reformatted to compile new tree  
19 lists that are used as input for the following projection. Ingrowth tree list files are stored with a format identical to  
20 tree list files required by FVS, with a four-digit year variable specifying when each record should be incorporated  
21 during FVS projections (Figure 2). Ingrowth files are then read between each simulation time step to identify and  
22 append ingrowth trees in to projected tree lists.

23 To run FVS\_IT, users need to click on the program icon to start the program. Directory and File dialogs  
24 enable the user to select necessary keyword files, FVS variant, initial tree lists, and ingrowth tree lists. Once all  
25 inputs are entered, the **[Run Simulation]** button is clicked to launch the keyword file modification process and run  
26 FVS. FVS\_IT only reads a given ingrowth file if its counterpart is present in the keyword file. If FVS\_IT  
27 encounters a stand with no associated ingrowth file, it assumes there is no ingrowth for that stand and continues  
28 processing other stands. In order to easily and accurately associate ingrowth files with their respective stands, they

1 must be stored in the same location and bear similar filenames. Ingrowth tree lists have an additional "\_ing" suffix.  
2 For example, if the stand #9 initial tree list is stored in "Stand9.fvs", the ingrowth data should be stored in  
3 "Stand9\_ing.fvs". This naming convention, coupled with the restriction that files are located in the same directory  
4 allows FVS\_IT to automatically locate any required ingrowth files. Once all projections are complete, FVS\_IT  
5 compiles several space-delimited files containing tree list and stand summary output from FVS for further user-  
6 specific analyses. A copy of FVS\_IT can be downloaded from: <http://ifmlab.for.unb.ca/people/kershaw/>.

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1 **List of Figures**

2 Figure 1. The process of projecting stands using the FVS Ingrowth Tool

3 Figure 2. Example ingrowth tree list file and explanation of record fields. For a complete description of fields, see

4 Dixon (2003).



