

1 **Field Note -- Forest Vegetation Simulator Ingrowth Tool: Incorporating ingrowth tree lists**
2 **into FVS growth projections**

3 **By**

4 **Justin E. Arseneault^{1,3}**

5 **Undergraduate Research Assistant**

6 **justin.a@unb.ca**

7
8 **Dr. John A. Kershaw, Jr., RPF, CF¹**

9 **Professor of Forest Mensuration**

10 **kershaw@unb.ca**

11
12 **Dr. James B. McCarter²**

13 **Research Scientist**

14 **jmac@u.washington.edu**

15
16 **and**

17
18 **Dr. David A. MacLean¹**

19 **Dean and Professor of Forest Management**

20 **macleand@unb.ca**

21
22 **¹Faculty of Forestry and Environmental Management, University of New Brunswick, PO Box 44555, 28**
23 **Dineen Dr., Fredericton, NB E3C 1M7 Canada**

24 **²Rural Technology Initiative, College of Forest Resources, University of Washington, Box 352100, Seattle,**
25 **WA 98195-2100**

26 **³Corresponding Author**

1 **Field Note -- Forest Vegetation Simulator Ingrowth Tool: Incorporating ingrowth tree lists into FVS growth**
2 **projections**

3
4 ABSTRACT -- The Forest Vegetation Simulator Ingrowth Tool (FVS_IT) was developed in the Python language
5 and tested using the Northeast variant of FVS (FVS-NE). This tool incorporates specified ingrowth tree lists, stored
6 in secondary tree list files, into FVS projections. It functions by retrieving information from a FVS keyword file,
7 which is then modified to project data in a stepwise manner using user-defined time intervals. Between each time
8 step in a simulation, FVS_IT incorporates ingrowth into projections by appending ingrowth tree records to projected
9 tree lists and compiles a new tree list for the next time step. Outputs include both appended tree lists and stand
10 summaries from FVS so that users can conduct further analyses. The FVS_IT application is useful when assessing
11 and calibrating FVS using continuous forest inventory or permanent sample plots where periodic remeasurements
12 include ingrowth trees.

13
14 **Introduction**

15 The Forest Vegetation Simulator (FVS) is the standard growth projection tool used by the US Forest
16 Service and many other agencies (Dixon 2003). The US Forest Service has developed variants of FVS for all
17 regions in the United States (Dixon 2003) and other organizations have developed similar variants for Canada (BC
18 Ministry of Forests 2007; Ontario Forest Vegetation Simulator 2007). Graphical user interfaces, such as Suppose
19 (Crookston 1997) and the Landscape Management System (McCarter et al. 1998) has made FVS the growth
20 projection tool of choice for many forest managers.

21 This project evolved out of a larger regional project assessing the application of FVS-NE to the Maritime
22 Provinces of eastern Canada. The initial phase focused on assessing and calibrating FVS-NE using the Nova Scotia
23 Continuous Forest Inventory (CFI) database (NS Department of Natural Resources 2007). It was quickly discovered
24 that incorporating ingrowth trees, observed in the periodic remeasurement data, into FVS was quite cumbersome and
25 time consuming. Individual CFI plots had to be either projected for a single growth period and the tree list manually
26 edited to incorporate ingrowth, or ingrowth had to be specified using the FVS NATURAL keyword resulting in plot-
27 specific keyword files. The software described in this paper, the Forest Vegetation Simulator Ingrowth Tool
28 (FVS_IT), was developed to automate the process of incorporating ingrowth trees into FVS simulations.

1 **FVS_IT - An Ingrowth Tool for FVS**

2 FVS_IT is a third-party application that works in conjunction with the Suppose interface, making stand
3 projections a two-stage process (Figure 1). The first stage consists of using the Suppose graphical user interface
4 (Dixon 2003) to generate a keyword file required by FVS during growth projection, and the second stage consists of
5 using FVS_IT to modify the keyword file, incorporate ingrowth tree lists, and run FVS. To understand how FVS_IT
6 operates, the behavior, inputs, and outputs of FVS and Suppose must be briefly explained (a complete description of
7 these functions and formats are found in Dixon 2003).

8 The Suppose graphical user interface allows users to quickly set-up simulations, or modify existing ones,
9 by providing links to menus of user-defined keywords that are subsequently used to generate a keyword file. The
10 keyword file is a summary of all information entered into Suppose and used by FVS to project tree lists. The
11 process of projecting tree lists with Suppose or FVS_IT only differs after users have generated a keyword file
12 (Figure 1). Instead of continuing with stand projection within Suppose, users run FVS_IT, which reads and
13 interprets a keyword file to obtain information including desired time scale, which tree lists to project, and where
14 these tree lists are stored. FVS_IT generates a modified keyword file and runs FVS for one time interval. At the end
15 of the projected time step, ingrowth trees are appended to the projected tree list and FVS is run again for another
16 time interval. The process is repeated until all time steps are projected. As a result, FVS_IT runs consecutive 5-year
17 projections instead of running a single projection in 5 year increments as is normally done when using FVS.

18 Projected tree lists from each simulated time step are read, processed, and reformatted to compile new tree
19 lists that are used as input for the following projection. Ingrowth tree list files are stored with a format identical to
20 tree list files required by FVS, with a four-digit year variable specifying when each record should be incorporated
21 during FVS projections (Figure 2). Ingrowth files are then read between each simulation time step to identify and
22 append ingrowth trees in to projected tree lists.

23 To run FVS_IT, users need to click on the program icon to start the program. Directory and File dialogs
24 enable the user to select necessary keyword files, FVS variant, initial tree lists, and ingrowth tree lists. Once all
25 inputs are entered, the **[Run Simulation]** button is clicked to launch the keyword file modification process and run
26 FVS. FVS_IT only reads a given ingrowth file if its counterpart is present in the keyword file. If FVS_IT
27 encounters a stand with no associated ingrowth file, it assumes there is no ingrowth for that stand and continues
28 processing other stands. In order to easily and accurately associate ingrowth files with their respective stands, they

1 must be stored in the same location and bear similar filenames. Ingrowth tree lists have an additional "_ing" suffix.
2 For example, if the stand #9 initial tree list is stored in "Stand9.fvs", the ingrowth data should be stored in
3 "Stand9_ing.fvs". This naming convention, coupled with the restriction that files are located in the same directory
4 allows FVS_IT to automatically locate any required ingrowth files. Once all projections are complete, FVS_IT
5 compiles several space-delimited files containing tree list and stand summary output from FVS for further user-
6 specific analyses. A copy of FVS_IT can be downloaded from: <http://ifmlab.for.unb.ca/people/kershaw/>.

7

8 **Literature Cited**

9 British Columbia Ministry of Forests. 2007. Growth and yield modeling.

10 <http://www.for.gov.bc.ca/hre/gymodels/index.htm> (Accessed October 10, 2007).

11 Crookston, N. L. 1997. Suppose: An Interface to the Forest Vegetation Simulator. P. 7-14 in Proc. Forest Vegetation
12 Simulator conf., Teck, R., Moeur, M., Adams, J. (ed.). Fort Collins, CO. Gen. Tech. Rep. INT-GTR-373.
13 Ogden, UT: U.S. Department of Agriculture, Forest Service, Intermountain Research Station.

14 Dixon, G. E. Compiler. 2003. Essential FVS: A User's Guide to the Forest Vegetation Simulator. Internal Rep. Fort
15 Collins, CO: U.S. Department of Agriculture, Forest Service, Forest Management Service Center. 193p
16 www.fs.fed.us/fmhc/fvs/documents/gtrs_essentialfvs.php (Accessed October 10, 2007).

17 McCarter, J.B., J.S. Wilson, P.J. Baker, J.L. Moffett, and C.D. Oliver. 1998. Landscape management through
18 integration of existing tools and emerging technologies. *Journal of Forestry*. 96(6):17-23.

19 Nova Scotia Department of Natural Resources. 2007. Forest Inventory.

20 <http://www.gov.ns.ca/natr/forestry/For-inventory.htm> (Accessed October 10, 2007).

21 Ontario Forest Vegetation Simulator. 2007. Ontario Forest Vegetation Simulator.

22 http://www.fvsontario.ca/home_index.htm (Accessed October 10, 2007).

1 **List of Figures**

2 Figure 1. The process of projecting stands using the FVS Ingrowth Tool

3 Figure 2. Example ingrowth tree list file and explanation of record fields. For a complete description of fields, see

4 Dixon (2003).



